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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/567,124

02/06/2006

Miyuki Tsukioka

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EXAMINER

CLARK, GREGORY D

ART UNIT

PAPER NUMBER

1786

NOTIFICATION DATE

DELIVERY MODE

07/08/2010

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OfficeAction25944@oliff.com  
jarmstrong@oliff.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/567,124	<b>Applicant(s)</b> TSUKIOKA ET AL.	
	<b>Examiner</b> GREGORY CLARK	<b>Art Unit</b> 1786	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 27 May 2010.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-13 is/are pending in the application.
- 4a) Of the above claim(s) 3 and 11 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-2, 4-10, 12 and 13 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### **Continued Examination Under 37 CFR 1.114**

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 05/27/2010 has been entered. Claims 1-3, 4-10 and 12-13.

Rejections and objections made in previous office action that do not appear below have been overcome by applicant's amendments and therefore the arguments pertaining to these rejections/objections will not be addressed.

The examiner acknowledges the receipt of the applicants' remarks dated 10/13/2009. Claims 1-13 pending.

### **Claim Objections**

1. Claim 9 is objected to under 37 CFR 1.75 as being a substantial duplicate of claim 4. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

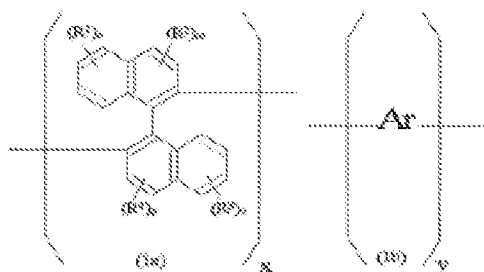
**Claim Rejections - 35 USC § 103**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. **Claims 1-2, 4, 6-10 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Liu (Chemical Materials, Vol. 13, N0. 6, pages 1984-1991) in view of Chen (US 2004/0106003).**

3. **Regarding Claim 1**, applicant claims an electroluminescent polymer represented by Formula(s) 1a and 1b:



wherein:

$R^1$ ,  $R^2$ ,  $R^3$ , and  $R^4$  are each independently hydrogen, alkyl, alkenyl, alkynyl, aralkyl, aryl, heteroaryl, alkoxy, aryloxy, or aliphatic heterocyclic group;

the double bonds of the bisphthyl structural unit indicated by dashed lines and solid lines are each an unsaturated double bond or a saturated single bond;

$m$  and  $n$  are each independently 0, 1, or 2;

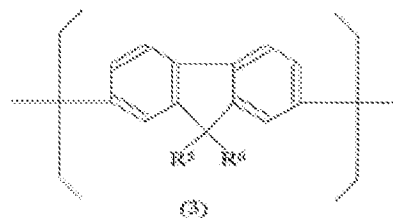
$n$  and  $o$  are each independently 0, 1, 2, 3, 4, 5, 6, 7, or 8;

when  $m$ ,  $n$ ,  $o$ , or  $p$  is an integer of 2 or greater, the two or more  $R^1$ 's,  $R^2$ 's,  $R^3$ 's, or  $R^4$ 's may or may not be identical to one another;

$x$  is the molar fraction of the bisphthyl derivative structural unit;

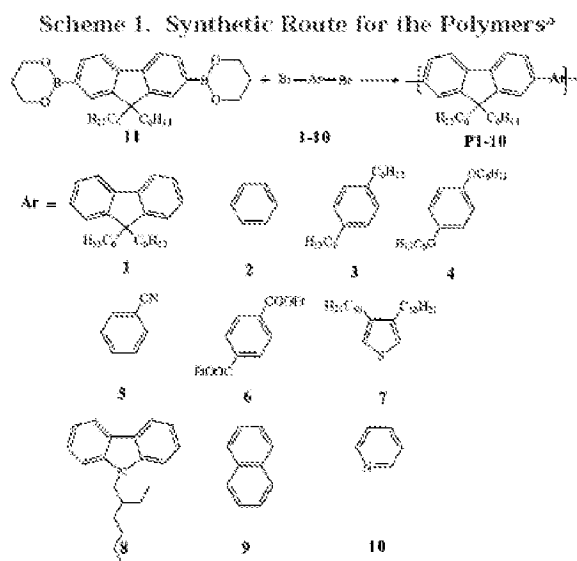
$y$  is the molar fraction of the aryl structural unit; and

$Ar$  is an aryl structural unit that can form an electroluminescent  $\pi$ -conjugated polymer, the aryl structural unit being a fluorene derivative structural unit represented by formula (3):



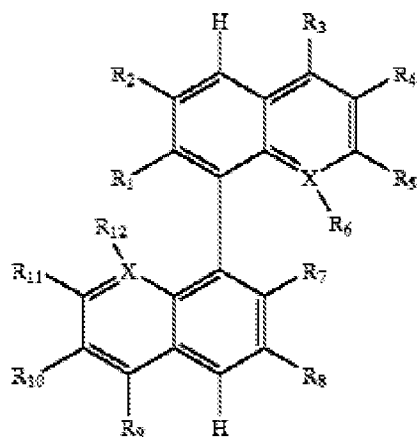
where  $R^5$  and  $R^6$  are each independently hydrogen, alkyl, alkenyl, alkynyl, aralkyl, aryl, heteroaryl, alkoxy, aryloxy, or aliphatic heterocyclic group.

Liu discloses alternating polyfluorene copolymers with different main chain aromatic (phenylene) structures attached at the 2 and 5 positions synthesized by a palladium-catalyzed Suzuki coupling reaction (abstract). Scheme 1 shows the synthetic rout to the polymers:

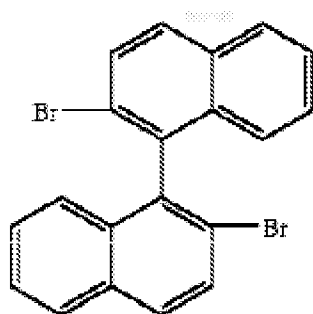


Liu further mentions that copolymerization of fluorene with various aryl partners allows for tunability of the electronic properties with enhanced stability (page 1985). Liu shows a number of reactive aromatic species (Br-Ar-Br) including naphthyl species **10** but fails to mention the binaphthylene species claimed by applicant.

Chen discloses a binaphthylene derivative that is reactive by a palladium-catalyzed Suzuki coupling reaction (paragraph 30) which is used to make electroluminescent compounds (paragraph 30) represented by Formula C-1 (paragraph 21):



wherein X can be a carbon atom; R6 and R12 can be substituted by aromatic compounds (paragraph 22). Formula C-1 as a reactive species can be represented by Formula C-2 (paragraph 44):



Chen further mentions that an advantage for using a binaphthylene derivative in and organic electroluminescent device (OLED) is that close molecular packing is prevented due to the large dihedral angle between the two naphthyl groups. When close molecular packing is prevented, the resulting OLED shows high photo and electro-luminescent efficiency.

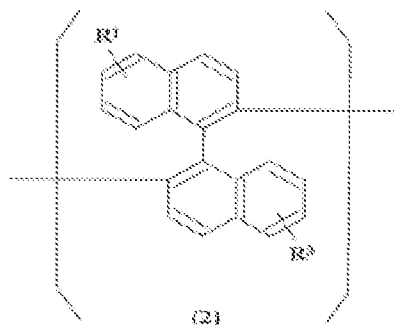
Formula C-2 by Chen represents an aromatic dibromide species which is used in analogous manner to the of reactive aromatic species (Br-Ar-Br) of Liu (above). The

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aromatic species (Br-Ar-Br) of Liu and Formula C-2 of Chen are components used to make materials used in OLED. As Liu teaches that incorporating dinaphthyl derivatives prevents close molecular packing resulting OLED shows high photo and electro-luminescent efficiency, one could envisage replacing a Br-Ar-Br species from Liu with Formula C-2 of Chen to make a polymer with improved photo and electro-luminescent efficiency.

It would have been obvious to one of ordinary skill in the art at the time of the invention to have selected from known Suzuki reactive Br-Ar-Br species which would have included Formula C-2 of Liu which reads on the instant limitations since Liu teaches that incorporating dinaphthyl derivatives prevents close molecular packing resulting OLED shows high photo and electro-luminescent efficiency, absent unexpected results.

4. **Regarding Claim 3**, applicant claims Formula 1a is a structural represented by Formula 2:



wherein  $R^1$  and  $R^2$  are each independently hydrogen, alkyl, alkenyl, alkynyl, aralkyl, aryl, heteroaryl, alkenyl, aryl, or aliphatic heterocyclic group.



Chen's Formula C-1 reads on applicant Formula 2 where R3-R5 and R9-R11 can be hydrogen atoms.

5. **Regarding Claims 4, 8-9**, applicant claims x in Formula 1a is 0.1- 90 mol%.

Lui discloses the polymerization conditions where 1 equivalent of fluorene derivative 10 (scheme 1 above) is reacted with 1 equivalent of a dibromo compound (Br-Ar-Br) (page 1986).

The equivalents values of the copolymer in scheme 1 (above) disclosed by Lui are viewed as analogous to the mol% claimed by applicant. Where a 1:1 ratio of fluorene (part A) to aromatic (part B) would be expected to give a 1:1 copolymer A-B.

Moreover, adjusting the ratio or mol% of each component is viewed as a means to control the architecture of the copolymer. Where in the case of a dinaphthyl derivative (x) based on Chen a higher number of equivalents or mol% would be expected to result in a materials with higher photo and electro-luminescent efficiency.

It would have been obvious to one of ordinary skill in the art at the time of the invention to have made a variety of electroluminescent materials by varying the value of x to optimize the emissive properties which would have included claimed range, absent unexpected results.

6. **Regarding Claims 6-7, 10 and 12**, Chen in view of Liu teaches the invention of claims 1-2 and 4 (discussed above). Chen discloses an electroluminescent device containing a luminescent layer composed of a dinaphthyl derivative between two

electrodes (paragraph 10) (per claims 6 and 10-12). Chen discloses that electroluminescent device can be used in a display (paragraph 4) (per claim 7).

**7. Claims 5 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Liu (Chemical Materials, Vol. 13, NO. 6, pages 1984-1991) in view of Chen (US 2004/0106003) as evidenced by Pielichowski (Progress in Polymer, 2003, Vol. 28, pages 1297-1353).**

**8. Regarding Claim 5,** Liu in view of Chen disclose the invention of claim 1.

Liu discloses that the electronic properties of the conjugated polymer is primarily governed by the chemical structure of the backbone itself. One way to achieve high efficiency in a polymer electroluminescent device (PLED) is to develop materials that have charge transporting properties (page 1985). Liu shows in Scheme 1(above) carbazole derivative 8 but fails to mention a terpolymer of identify carbazole derivative 8 as a charge transporting material.

Pielichowski discloses carbazole containing polymers as charge transporting materials (page 1298) used in a electroluminescent device (abstract).

As Liu mentions that the electronic properties of the conjugated polymer is primarily governed by the chemical structure of the backbone and show charge transporting carbazole derivative 8.

It would have been obvious to one of ordinary skill in the art at the time of the invention to have modified the electroluminescent copolymer of Liu in view of Chen by

incorporating a third monomer which would have included the Suzuki carbazole 8 of Liu which read on the instant limitations since Liu teaches that higher efficiency in a polymer electroluminescent device (PLED) can be achieved with the copolymers also have charge transporting properties.

9. **Regarding Claim 13**, Liu in view of Chen and Pielichowski teaches the invention of claim 5 (discussed above). Chen discloses an electroluminescent device containing a luminescent layer composed of a dinaphtyl derivative between two electrodes (paragraph 10).

### **Response to Arguments/Amendments**

The examiner has applied new art in the current set of rejections. As a result, applicants' arguments based on the previous office action will not be addressed.

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to GREGORY CLARK whose telephone number is (571)270-7087. The examiner can normally be reached on M-Th 7:00 AM to 5 PM Alternating Fri 7:30 AM to 4 PM and Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Larry Tarazano can be reached on (571) 272-1515. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/D. Lawrence Tarazano/  
Supervisory Patent Examiner, Art Unit 1786

GREGORY CLARK/GDC/  
Examiner  
Art Unit 1786